

TECHNOLOGY GUIDES

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T6.1 TECHNOLOGY GUIDE

A Technical View of System Analysis and Design

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TECHNOLOGY GUIDES A TECHNICAL VIEW OF SYSTEM ANALYSIS AND DESIGN

T6.1 DEVELOPING AN IT ARCHITECTURE

A Six-Step Process

An IT architecture is a conceptual framework for the organization of the IT infrastructure and applications. It is a plan for the structure and integration of IT resources and applications in the organization.

Once the corporate strategy team or steering committee decides on potential applications, an architecture must be developed. Koontz (2000) suggested a six-step process for developing an IT architecture. These steps, described below, constitute a hierarchy of IT architecture.

Step 1: Business goals and vision. This step, in which the system analyst reviews the relevant business goals and vision, is sometimes referred to as “business architecture”

Step 2: Information architecture. In this step a company analyst defines the information necessary to fulfill the objectives of Step 1. Here, one should examine each objective and goal, identify the information currently available, and determine what new information is needed. All potential users need to be involved.

Step 3: Data architecture. Once you know what information must be processed, you need to determine a data architecture—that is, exactly what data you have and what you want to get from customers, including Web-generated data. Of special interest is the investigation of all data that flows within the organization and to and from your business partners.

The result of your investigation will probably show that data are everywhere, from data warehouses to mainframe files to Excel files on users’ PCs. You need to conduct an analysis of the data, understanding its use, and examine the need for new data. This is when you need to think about how to process this data and what tools to use. If large amounts of data are used, tools such as Microsoft Transaction Server, Tuxedo, or CICS for mainframe data should be considered. Also, think about data mining and other tools. All this analysis needs to be done with an eye toward security and privacy.

Step 4: Application architecture. At this point, you define the components or modules of the applications that will interface with the required data defined in Step 3. In this step you will build the conceptual framework of an application, but not the infrastructure that will support it.

Many vendors, such as IBM, Oracle, and Microsoft, offer sophisticated IT application platforms that can significantly reduce the amount of code that programmers need to write. These application platforms also explain how the application should be structured. In this step, you can decide on a specific vendor-defined application architecture, such as Microsoft Distributed Network Architecture (DNA).

Other factors that must be considered are scalability, security, the number and size of servers, and the networks. The need to interface with legacy systems and with sales, ERP, accounting, and human resources data must be considered. In addition the ability to read real-time data is also important.

The major output of this step is to define the software components that meet the data requirements. For example, to deal with updated, real-time information, one may consider IBM's MQSeries or Microsoft MSMQ.

Step 5: Technical architecture. During the previous steps, designers informally considered the technical requirements. In this step, they must formally examine the specific hardware and software required to support the analysis in the previous steps. An inventory of the existing information resources is made, and an evaluation of the necessary upgrades and acquisitions is performed.

At this stage, designers must also examine the middleware needed for the application. EC applications require a considerable amount of transaction processing software. The more scalability and availability required, the more you need to invest in additional application servers and other hardware and software.

When selecting a programming language, designers may consider Java, Visual Studio.NET, C11, CGI, and even COBOL, depending on the application. Also in this step, the operating systems, transaction processors, and networking devices required to support the applications must be decided on. Obviously, you want to leverage your existing IT resources, but this may not be the optimal approach.

Step 6: Organizational architecture. An organizational architecture deals with the human resources and procedures required by Steps 1 through 5. At this point, the legal, administrative, and financial constraints should be examined. For example, a lack of certain IT skills on your team may require hiring or retraining. Partial outsourcing may be a useful way to deal with skill deficiencies.

In the worst-case scenario, you outsource the entire job, but you can give the architecture to the vendor as a starting point. Also, vendor selection can be improved if the architectures (business, information, data, application, and technical) are considered.

Creating IT architecture may be a lengthy process, but it is necessary to go through it. You may want to develop metrics to help you to track the effectiveness of your IT architecture, and you certainly need to document the process and output of each step. Once the IT architecture has been decided on, a development strategy can be formulated.

The systems development life cycle (SDLC) is the traditional systems development method used by organizations for large IT projects such as IT infrastructure. The SDLC is a structured framework that consists of sequential processes by which information systems are developed. These processes include: investigation, analysis, design, programming, testing, implementation, operation, and maintenance. The processes, in turn, consist of well-defined tasks. Large projects typically require all the tasks, whereas smaller development projects may require only a subset of the tasks.

Other models for the SDLC may contain more or fewer than the eight stages we present here. The flow of tasks, however, remains largely the same, regardless of the number of stages. In the past, developers used the waterfall approach to the SDLC, in which tasks in one stage were completed before the work proceeded to the next stage. Today, systems developers go back and forth among the stages as necessary.

Within the waterfall approach, there is an iterative feature. Iteration is the revising of the results of any development process when new information makes this revision desirable. Iteration does not mean that developments should be subjected to infinite revisions, which would never allow systems to be implemented and utilized. It does mean that developers must evaluate any new development information they come across to determine whether it warrants causing revisions to the existing development. It is especially important for e-commerce development because EC systems must be constantly evolving to meet new demands of their users and to stay ahead of the competition.

Systems development projects produce desired results through team efforts. Development teams typically include users, systems analysts, programmers, and technical specialists. Users are employees from all functional areas and levels of the organization who will interact with the system, either directly or indirectly.

Systems analysts are information systems professionals who specialize in analyzing and designing information systems. Programmers are information systems professionals who modify existing computer programs or write new computer programs to satisfy user requirements. Technical specialists are experts on a certain type of technology, such as databases or telecommunications. All people who are affected by changes in information systems (e.g., users and managers) are known as systems stakeholders, and are typically involved by varying degrees and at various times in the systems development.

In the remainder of this section, we will look at each of the processes (phases) in the eight-stage SDLC. An eight-stage system development life cycle (SDLC). Go Back to a Previous Stage or Stop

- (4) Programming
- (5) Testing
- (6) Implementation
- (7) Operation
- (8) Maintenance
- (1) Systems Investigation
- (2) Systems Analysis
- (3) Systems Design

Systems Systems development professionals agree that the more time invested in understanding the business problem to be solved, in understanding technical options (Step 1) for systems, and in understanding problems that are likely to occur during development, the greater the chance of successfully solving the problem. For these reasons, systems investigation begins with the business problem (or business opportunity). Problems (and opportunities) often require not only understanding them from the internal point of view, but also seeing them as organizational partners (suppliers or customers) would see them. Another useful perspective is that of competitors. (How have they responded to similar situations, and what outcomes and additional opportunities have materialized?) Creativity and out-of-the-box thinking can pay big dividends when isolated problems can be recognized as systemic failures whose causes cross organizational boundaries. Once these perspectives can be gained, those involved can also begin to better see the true scope of the project and propose possible solutions. Then, an initial assessment of these proposed system solutions can begin.

FEASIBILITY STUDIES. The next task in the systems investigation stage is the feasibility study. The feasibility study determines the probability of success of the proposed project and provides a rough assessment of the project's technical, economic, organizational, and behavioral feasibility. The feasibility study is critically important to the systems development process because, done properly, the study can prevent organizations from making costly mistakes (like creating systems that will not work, will not work efficiently, or that people cannot or will not use). The various feasibility analyses also give the stakeholders an opportunity to decide what metrics to use to measure how a proposed system (and later, a completed system) meets their various objectives.

Technical Feasibility. Technical feasibility determines if the hardware, software, and communications components can be developed and/or acquired to solve the business problem. Technical feasibility also determines if the organization's existing technology can be used to achieve the project's performance objectives.

Economic Feasibility. Economic feasibility determines if the project is an acceptable financial risk and if the organization can afford the expense and time needed to complete the project. Economic feasibility addresses two primary questions: Do the benefits outweigh the costs of the project? Can the project be completed as scheduled?

Three commonly used methods to determine economic feasibility are return on investment (ROI), net present value (NPV), and breakeven analysis. The first two were discussed in Chapter 13: Return on investment is the ratio of the net income attributable to a project divided by the average assets invested in the project. The net present value is the net amount by which project benefits exceed project costs, after allowing for the cost of capital and the time value of money. Breakeven analysis determines the point at which the cumulative cash flow from a project equals the investment made in the project.

Determining economic feasibility in IT projects is rarely straightforward, but it often is essential. Part of the difficulty stems from the fact that benefits often are intangible (as discussed in Chapter 13). Another potential difficulty is that the proposed system or technology may be "cutting edge," and there may be no previous evidence of what sort of financial payback is to be expected. Example: ROI analysis at Sears.

EXAMPLE:

Sears Demands ROI Analysis for Handheld Computer System Dennis Honan, an IS executive at retailer Sears, Roebuck & Co., is a veteran of what he calls the company's "ROI culture." Honan, VP of Information Systems for Sears's Home Services business, got approval to spend some \$20 million to equip the unit's 14,000-person service staff with handheld PCs. The overriding goal of the project was to improve the efficiency of Sears's service technicians. Not only were they to be given handheld computers, but the devices would also be linked by wireless WANs to Sears's databases. Honan projected an average 6 to 8 percent gain in the technicians' productivity, mainly because the setup would let them request price estimates, check availability for appliance parts, place orders, receive software upgrades, and get job-schedule updates from wherever they were working. That, in turn, would let technicians complete more calls a day.

Also, when customers cancel or reschedule service calls—something that happens up to 100 times a day in some districts—technicians and dispatchers could learn about the changes and make schedule adjustments almost immediately. In the past, they'd be paged, have to find a pay phone, then wait for instructions. "Here was an opportunity to computerize everything, eliminate paper service orders, and have the ability to

communicate almost instantaneously with the technicians,” said Vince Accardi, director of process management.

The project sounded good enough to go, but presenting a formal ROI analysis was “absolutely essential” to the approval process, Honan said. Added Joseph Smialowski, Sears’s senior VP and CIO and a key player in the approval of all types of investments at the retailer, “All our projects—whether it’s opening new stores or buying new systems—have to compete for the capital that’s available. There are really no projects that can slip through without going through a quantitative analysis.” To justify the handheld PC initiative, Home Services managers used a cost–benefit measure to determine net annual savings. To illustrate the longer-term benefits of the investment, they also calculated the net present value (NPV) of cash flows over a five-year period.

Home Services presented the expected benefits in terms of expected annual savings for Sears. The project proposal then went through a multilevel evaluation process: first within Home Services, and next at the company’s strategic planning level. The plan was evaluated for technical soundness, accuracy of the cost estimates, and to see if it fit Sears’s business model and enterprise architecture. The proposal then went to Sears’s finance committee, which includes the company’s CEO, the chief financial officer (CFO), the CIO, and two business presidents. They approved the project. It was then rolled out, first in test markets, then district by district. Source: B. Violino, “Sears, Roebuck, & Co. Productivity Gains from Mobile Computing,” informationweek.com/679/79iuro6.htm (1998).

Organizational Feasibility. Organizational feasibility has to do with an organization’s ability to accept the proposed project. Sometimes, for example, organizations cannot accept a financially acceptable project due to legal or other constraints. In checking organizational feasibility, one should consider the organization’s policies and politics, including impacts on power distribution, business relationships, and internal resources availability.

Behavioral Feasibility. Behavioral feasibility addresses the human issues of the project. All systems development projects introduce change into the organization, and people generally fear change. Overt resistance from employees may take the form of sabotaging the new system (e.g., entering data incorrectly) or deriding the new system to anyone who will listen. Covert resistance typically occurs when employees simply do their jobs using their old methods.

A more positive and pragmatic concern of behavioral feasibility is assessing the skills and training needs that often accompany a new information system. In some organizations, a proposed system may require mathematical or linguistic skills beyond what the workforce currently possesses. In others, a workforce may simply need additional skill building rather than remedial education. Behavioral feasibility is as much about “can they use it” as it is about “will they use it.”

After the feasibility analysis, a “Go/No-Go” decision is reached. The functional area manager for whom the system is to be developed and the project manager sign off on the decision. If the decision is “No-Go,” the project is put on the shelf until conditions are more favorable, or the project is discarded. If the decision is “Go,” then the systems development project proceeds and the systems analysis phase begins.

Systems Analysis Once a development project has the necessary approvals from all participants, (Step 2) the systems analysis stage begins. Systems analysis is the examination of the business problem that the organization plans to solve with an

information system. This stage defines the business problem, identifies its causes, specifies the solution, and identifies the information requirements that the solution must satisfy. Understanding the business problem requires understanding the various processes involved. These can often be quite complicated and interdependent. (Note that this stage is similar to Step 1 described in Section T6.1. The difference is that the steps in that section could apply to any type of system acquisition; here, the process refers specifically to building applications.)

Organizations have three basic solutions to any business problem relating to an information system: (1) Do nothing and continue to use the existing system unchanged. (2) Modify or enhance the existing system. (3) Develop a new system. The main purpose of the systems analysis stage is to gather information about the existing system, in order to determine which of the three basic solutions to pursue and to determine the requirements for an enhanced or new system. The end product (the “deliverable”) of this stage is a set of information requirements.

T6.8 TECHNOLOGY GUIDES A TECHNICAL VIEW OF SYSTEM ANALYSIS AND DESIGN

Arguably the most difficult task in systems analysis is to identify the specific information requirements that the system must satisfy. Information requirements outline what information, how much information, for whom, when, and in what format. Systems analysts use many different techniques to obtain the information requirements for the new system. These techniques include structured and unstructured interviews with users and direct observation. Structured interviews pose questions written in advance. In unstructured interviews, the analyst does not have predefined questions but uses experience to elicit the problems of the existing system from the user. With direct observation, analysts observe users interacting with the existing system.

In developing information requirements, analysts must be careful not to let any preconceived ideas they have interfere with their objectivity. Further, analysts must be unobtrusive, so that users will interact with the system as they normally would.

There are problems associated with eliciting information requirements, regardless of the method used by the analyst. First, the business problem may be poorly defined. Second, the users may not know exactly what the problem is, what they want, or what they need. Third, users may disagree with each other about business procedures or even about the business problem. Finally, the problem may not be information related, but may require other solutions, such as a change in management or additional training.

The systems analysis stage produces the following information: (1) Strengths and weaknesses of the existing system. (2) Functions that the new system must have to solve the business problem. (3) User information requirements for the new system. Armed with this information, systems developers can proceed to the systems design stage.

There are two main approaches in systems analysis: the traditional (structured) approach, and the object-oriented approach. The traditional approach emphasizes “how,” whereas the object-oriented approach emphasizes “what.” Systems Design Systems analysis describes what a system must do to solve the business prob

(Step 3) lem, and systems design describes how the system will accomplish this task. The deliverable of the systems design phase is the technical design that specifies the

following:

- . System outputs, inputs, and user interfaces
 - . Hardware, software, databases, telecommunications, personnel, and procedures
 - . How these components are integrated
- This output represents the set of system specifications.

Systems design encompasses two major aspects of the new system: Logical system design states what the system will do, using abstract specifications. Physical system design states how the system will perform its functions, with actual physical specifications. Logical design specifications include the design of outputs, inputs, processing, databases, telecommunications, controls, security, and IS jobs. Physical design specifications include the design of hardware, software, database, telecommunications, and procedures. For example, the logical telecommunications design may call for a wide-area network connecting the company's plants. The physical telecommunications design will specify the types of communications hardware (e.g., computers and routers), software (e.g., the network operating system), media (e.g., fiber optics and satellite), and bandwidth (e.g., 100 Mbps).

When both these aspects of system specifications are approved by all participants, they are "frozen." That is, once the specifications are agreed upon, they should not be changed. However, users typically ask for added functionality in the system (called scope creep). This occurs for several reasons: First, as users more clearly understand how the system will work and what their information and processing needs are, they see additional functions that they would like the system to have. Also, as time passes after the design specifications are frozen, business conditions often change, and users ask for added functionality. Because scope creep is expensive, project managers place controls on changes requested by users. These controls help to prevent runaway projects—systems development projects that are so far over budget and past deadline that they must be abandoned, typically with large monetary loss.

Programming Systems developers utilize the design specifications to acquire the software (Step 4) needed for the system to meet its functional objectives and solve the business problem. As discussed in Chapter 13, organizations may buy the software or construct it in-house. Although many organizations tend to purchase packaged software, many other firms continue to develop custom software in-house. For example, Wal-Mart and Eli Lilly build practically all their software in-house. The chief benefit of custom development is systems that are better suited than packaged applications to an organization's new and existing business processes. For many organizations, custom software is more expensive than packaged applications. However, if a package does not closely fit the company's needs, the savings are often diluted when the information systems staff or consultants must extend the functionality of the purchased packages. If the organization decides to construct the software in-house, then programming begins. Programming involves the translation of the design specifications into computer code. This process can be lengthy and time-consuming because writing computer code remains as much an art as a science. Large systems development projects can require hundreds of thousands of lines of computer code and hundreds of computer programmers. In such projects, programming teams are used. These teams often include functional area users to help the programmers focus on the business problem at hand. In an attempt to add rigor (and some uniformity) to the programming process, programmers use structured programming techniques. These techniques improve the logical flow of the program by decomposing the computer code into modules, which are sections of code (subsets of the entire program). This modular

structure allows for more efficient and effective testing because each module can be tested by itself. The structured programming techniques include the following restrictions:

- . Each module has one, and only one, function.
- . Each module has only one entrance and one exit. That is, the logic in the computer program enters a module in only one place and exits in only one place.
- . GO TO statements are not allowed.

For example, a flowchart for a simple payroll application might look like the one shown in Figure T6.3 (page T6.10). The figure shows the only three types of structures that are used in structured programming: sequence, decision, and loop. In the sequence structure, program statements are executed one after another until all the statements in the sequence have been executed. The decision structure allows the logic flow to branch, depending on certain conditions being met. The loop structure enables the software to execute the same program, or parts of a program, until certain conditions are met (e.g., until the end of the file is reached, or until all records have been processed).

Flowchart

diagram of a payroll application of structured programming.

Gross pay =
hours x hr. rate

Gross pay =
salary/52

Last
record?

Hours
worked > 40?

Employee
hourly?

No

No

No

Yes

Yes

Yes

Stop

Gross pay = (40 x hr. rate) +
(# hours – 40) x (hr. rate x 1.5)

As already noted, structured programming enforces some standards about how program code is written. This approach and some others were developed not only to improve programming, but also to standardize how a firm's various programmers do their work. This uniform approach helps ensure that all the code developed by different programmers will work together. Even with these advances, however, programming can be difficult to manage. An example of how one company managed to track programming progress is provided next.

EXAMPLE:

Belk Inc. Tracks Development Progress, Reaps Rewards With limited management resources available and the pressure to deploy new business solutions quickly, measuring productivity in systems development often becomes a low priority for many organizations. IT departments at smaller

Testing (Step 5)

companies in particular seem reluctant to institute policies to track the performance of development projects. Some companies, however, have been forced to adopt productivity measurement methods, and are reaping rewards for doing so. For example, national retailer Belk Inc. had to adopt productivity metrics as a means of reducing devastating system failures. Conda Lashley, the veteran IT consultant that Belk hired, was used to nursing client organizations through crashes that periodically downed their systems. But nothing had prepared Lashley for the failure rate at Belk. Soon after joining the company as senior VP for systems development, Lashley discovered that Belk's batch systems went down an astounding 800 times a month. The Charlotte, North Carolina, outfit, a private company with estimated annual revenue of \$1.7 billion, paid a heavy price for the constant bandaging: In 1997, Belk spent \$1.1 million of its \$30 million IT budget on unplanned maintenance.

To steady the systems, Lashley instituted a series of tracking measures. Programmers began logging their time. Required software functions were carefully counted in application development projects. Belk compared its cycle time, defect rates, and productivity with competitors' figures. And systems managers were required to draw up blueprints for reducing the crashes—with the results reviewed in their performance evaluations.

The transition to tracking the IT department's performance was painful but worthwhile. Belk's systems became more stable—monthly disruptions dropped to 480 incidents, a figure Lashley hoped to slash by another 30 percent. Unplanned maintenance costs also have been brought under control, with initial cuts in unplanned maintenance expenses of \$800,000.

Thorough and continuous testing occurs throughout the programming stage. Testing checks to see if the computer code will produce the expected and desired results under certain conditions. Testing requires a large amount of time, effort, and expense to do properly. However, the costs of improper testing, which could possibly lead to a system that does not meet its objectives, are enormous.

Testing is designed to detect errors ("bugs") in the computer code. These errors are of two types: syntax errors and logic errors. Syntax errors (e.g., a misspelled word or a misplaced comma) are easier to find and will not permit the program to run. Logic errors permit the program to run but result in incorrect output. Logic errors are more difficult to detect because the cause is not obvious. The programmer must follow the flow of logic in the program to determine the source of the error in the output.

To have a systematic testing of the system, we must start with a comprehensive test plan. There are several types of testing: In unit testing, each module is tested alone in an attempt to discover any errors in its code. String testing puts together several modules, to check the logical connection among them. The next level, integration testing, brings together various programs for testing purposes. System testing brings together all of the programs that comprise the system. As software increases in complexity, the number of errors increases, making it almost impossible to find them all. This situation has led to the idea of "good-enough" software, software that developers release knowing that errors remain in the code but believing that the software will still meet its functional objectives. That is, they have found all the "show-stopper" bugs, errors that will cause the system to shut down or will cause catastrophic loss of data.

Implementation (Step 6) Operation and Maintenance (Steps 7 and 8)

Implementation (or deployment) is the process of converting from the old system to the new system. Organizations use four major conversion strategies: parallel, direct, pilot, and phased.

In a parallel conversion, the old system and the new system operate simultaneously for a period of time. That is, both systems process the same data at the same time, and the outputs are compared. This type of conversion is the most expensive, but also the least risky. Most large systems have a parallel conversion process to lessen the risk.

In a direct conversion, the old system is cut off and the new system is turned on at a certain point in time. This type of conversion is the least expensive, but the most risky if the new system doesn't work as planned. Few systems are implemented using this type of conversion, due to the risk involved.

A pilot conversion introduces the new system in one part of the organization, such as in one plant or in one functional area. The new system runs for a period of time and is assessed. After the new system works properly, it is introduced in other parts of the organization.

A phased conversion introduces components of the new system, such as individual modules, in stages. Each module is assessed, and, when it works properly, other modules are introduced until the entire new system is operational.

Enterprise application integration (EAI) is often called the middleware. Interfaces were developed to map the major packages to a single conceptual framework that guides what all these packages do and the kinds of information they normally need to share. This conceptual framework could be used to translate the data and processes from each vendor's package to a common language. It is the only way to implement collaborative supply chain sharing of information.

XML is the technology that is being used by many EAI vendors in their cross-enterprise applications development. It can be thought of as a way for providing variable format messages that can be shared between any two computer systems, as long as they both understand the format (tags) that is (are) being used.

After conversion, the new system will operate for a period of time, until (like the old system it replaced) it no longer meets its objectives. Once the new system's operations are stabilized, audits are performed during operation to assess the system's capabilities and determine if it is being used correctly.

Systems need several types of maintenance. The first type is debugging the program, a process that continues throughout the life of the system. The second type is updating the system to accommodate changes in business conditions. An example would be adjusting to new governmental regulations (such as tax rate changes). These corrections and upgrades usually do not add any new functionality; they are necessary simply in order for the system to continue meeting its objectives. The third type of maintenance adds new functionality to the system— adding new features to the existing system without disturbing its operation.

Organizations use the traditional systems development life cycle because it has three major advantages: control, accountability, and error detection. An important issue in systems development is that the later in the development process that errors are detected, the more expensive they are to correct. The structured sequence of tasks and milestones in the SDLC thus makes error detection easier and saves money in the long run.

However, the SDLC does have disadvantages. By its structured nature, it is relatively inflexible. It is also time-consuming, expensive, and discourages changes to user requirements once they have been established. Development managers who must develop large, enterprisewide applications therefore find it useful to mix and match development methods and tools in order to reduce development time, complexity, and costs. These methods and tools include prototyping, rapid application development, component-based development, Web services, integrated computer-assisted software engineering (ICASE) tools, and object-oriented development. Although all these methods and tools can reduce development time, none can consistently deliver in all cases. They are perhaps best considered as options to complement or replace the SDLC or portions of it. This section discusses each of these methods and tools.

The prototyping approach defines an initial list of user requirements, builds a prototype system, and then improves the system in several iterations based on users' feedback. Developers do not try to obtain a complete set of user specifications for the system at the outset and do not plan to develop the system all at once. Instead, they quickly develop a prototype, which either contains parts of the new system of most interest to the users or is a small-scale working model of the entire system. Users make suggestions for improving the prototype, based on their experiences with it.

The developers then review the prototype with the users and use the suggestions to refine the prototype. This process continues through several iterations until either the users approve the system or it becomes apparent that the system cannot meet users' needs. If the system is viable, the developers can use the prototype on which to build the full system. Developing screens that a user will see and interact with is a typical use of prototyping.

The main advantage of prototyping is that it speeds up the development process. In addition, prototyping gives users the opportunity to clarify their information requirements as they review iterations of the new system. Prototyping is especially useful in the development of decision support systems and executive information systems, where user interaction is particularly important.

Prototyping also has disadvantages. Because it can largely replace the analysis and design stages of the SDLC in some projects, systems analysts may not produce adequate documentation for the programmers. This lack of documentation can lead to problems after the system becomes operational and needs maintenance. In addition, prototyping can result in an excess of iterations, which can consume the time that prototyping should be saving. Inside spiral development there is prototyping. The prototype is a model of a system that can be used to communicate the requirements and design of that part of the system between developers and their clients.

Joint application design (JAD) is a group-based tool for collecting user requirements and creating system designs. JAD is most often used within the systems analysis and systems design stages of the SDLC.

In the traditional SDLC, systems analysts interview or directly observe potential users of the new information system individually to understand each user's needs. The analysts will obtain many similar requests from users, but also many conflicting requests. The analysts must then consolidate all requests and go back to the users to resolve the conflicts, a process that usually requires a great deal of time. In contrast, JAD has a group meeting in which all users meet simultaneously with analysts. It is basically a group decision-making process and can be computerized or done manually. During this meeting, all users jointly define and agree upon systems requirements. This process saves a tremendous amount of time. e-JAD is an extension of JAD whereby the group meeting is done remotely using groupware software.

The JAD approach to systems development has several advantages. First, the group process involves many users in the development process while still saving time. This involvement leads to greater support for the new system and can produce a system of higher quality. This involvement also may lead to easier implementation of the new system and lower training costs.

The JAD approach also has disadvantages. First, it is very difficult to get all users to the JAD meeting. For example, large organizations may have users literally all over the world. Second, the JAD approach has all the problems caused by any group process (e.g., one person can dominate the meeting, some participants may not contribute in a group setting). To alleviate these problems, JAD sessions usually have a facilitator, who is skilled in systems analysis and design as well as in managing group meetings and processes. Also, the use of groupware (such as GDSS) can help facilitate the meeting.

A model of the prototyping process.

Determine conceptual information model and detail requirements.

Develop initial relational database using data modeling and procedures.

Develop operational prototype, data structure, formal reports, and ad hoc reporting.

Revise prototype as needed by:

- adding entities
- changing data structures
- adding data items
- updating data dictionary
- adding software tools
- enhancing input and output capabilities

Demonstrate prototype to users and have them use it on real problems.

Continue with SDLC.

Is prototype satisfactory?

No

Yes

Traditional Development

FIGURE T6.5 A rapid prototyping development process versus SDLC.

[Source: datawarehousetraining.com/Methodologies/ rapid-application-development.]

Rapid Application Development

Planning Analysis Design
Development
Requirements
Iterative
Development
JAD
Design
Develop
Test
User Review
Build
RAD
Compress
Test Deploy

Rapid application development (RAD) is a systems development method that can combine JAD, prototyping, and integrated CASE tools (described next) to rapidly produce a high-quality system. Initially, JAD sessions are used to collect system requirements, so that users are intensively involved early on. The development process in RAD is iterative, similar to prototyping, in which requirements, designs, and the system itself are developed with sequential refinements. However, RAD and prototyping use different tools. Prototyping typically uses specialized languages, such as fourth-generation languages (4GLs), Web-based development tools, and screen generators; RAD uses ICASE tools (discussed next) to quickly structure requirements and develop prototypes. As the prototypes are developed and refined, users review them in additional JAD sessions. RAD produces functional components of a final system, rather than limited-scale versions. For more details, see Figure T6.5. The figure also compares RAD to SDLC.

Rapid application development (RAD) methodologies and tools make it possible to develop systems faster, especially systems where the user interface is an important component. RAD can also improve the process of rewriting legacy applications. An example of how quickly experienced developers can create applications with RAD tools is provided next.

EXAMPLE:

Blue Cross & Blue Shield Develops an Award-Winning Application Using RAD A Y2K problem without a solution led to the development of an innovative customer-service application in less than a year at Blue Cross & Blue Shield of Rhode Island (BCBSRI). The new system is based on an internally developed architecture that the Application Development Trends' 2000 Innovator Awards judges lauded as modular and flexible enough to easily allow for system upgrades and the incorporation of new technology.

BCBSRI decided in mid-1998 to build a new customer-service system, a mission-critical application that monitors and records communications with policyholders. The internal work on the project began in January 1999 after the development plan and blueprint were validated by outside consultants.

The development team adhered to a phased-rollout approach and rapid application development (RAD) methodology. Developers used several productivity tools (including the Sybase EAServer, Sybase PowerBuilder, and Riverton HOW), as well as performance monitoring techniques and heavy user involvement to ensure the quality of the system throughout its life cycle. By September 1, 1999, the application was available to more than

a hundred Windows 98-based clients. Since then, the customer-service unit has averaged about 1800 daily calls and more than 20,000 transactions a day over the system.

By early 2000, the new customer-service system had already saved the company \$500,000 and produced boosts in user productivity, significant strides in system performance, and increased data accuracy. The integration, power, and scalability of the BCBSRI solution are truly exemplary. Sources: Condensed from M. W. Bucken, "2000 Innovator Awards: Blue Cross & Blue Shield," Application Development Trends Magazine, April 2000, adtmag.com/article.asp=2692 (accessed July 2003); and from paper published at adtmag.com (April 2000).

Extreme Programming: Extreme programming (XP) is an attempt to combat the chaotic tendencies of RAD while still maintaining the flexibility to respond to changing business needs. It advocates rigorous and automated testing and simplicity of code. The team should never make assumptions about future requirements and should constantly reevaluate old code in light of new requirements. Its goal is to release software as often as possible in order to test it with real users. Extreme programming creates a "one feature at a time" mentality that slowly grows the software and reduces risk by ensuring that a project will have a sufficient degree of stable functionality at any given time.

Integrated Computer-Assisted Software Engineering Tools

Computer-aided software engineering (CASE) is a development approach that uses specialized tools to automate many of the tasks in the SDLC. The tools used to automate the early stages of the SDLC (systems investigation, analysis, and design) are called upper CASE tools. The tools used to automate later stages in the SDLC (programming, testing, operation, and maintenance) are called lower CASE tools. CASE tools that provide links between upper CASE and lower CASE tools are called integrated CASE (ICASE) tools. Some CASE tools can even work backward, modifying the model after modifying the coding. See, for example, IBM's Rational Rose. CASE tools provide advantages for systems developers. These tools can produce systems with a longer effective operational life that more closely meet user requirements. CASE tools can speed up the development process and result in systems that are more flexible and adaptable to changing business conditions. Finally, systems produced using CASE tools typically have excellent documentation. On the other hand, CASE tools can produce initial systems that are more expensive to build and maintain. CASE tools do require more extensive and accurate definition of user needs and requirements. Also, CASE tools are difficult to customize and may be difficult to use with existing systems.

Object-Oriented Development

Object-oriented development is based on a fundamentally different view of computer systems than that found in traditional SDLC development approaches. Traditional approaches provide specific step-by-step instructions in the form of computer programs, in which programmers must specify every procedural detail. These programs usually result in a system that performs the original task but may not be suited for handling other tasks, even when the other tasks involve the same real-world entities. For example, a billing system will handle billing but probably will not be adaptable to handle mailings for the marketing department or generate leads for the sales force, even though the billing, marketing, and sales functions all use similar data (e.g., customer names, addresses, and purchases). An object-oriented (OO) system, on the other hand, begins not with the task to be performed, but with the aspects of the real world that must be modeled to perform that

task. Therefore, in the example above, if the firm has a good model of its customers and its interactions with them, this model can be used equally well for billings, mailings, and sales leads.

The object-oriented (OO) approach to software development offers many advantages:

- . It reduces the complexity of systems development and leads to systems that are easier and quicker to build and maintain, because each object is relatively small and self-contained.
- . It improves programmers' productivity and quality. Once an object has been defined, implemented, and tested, it can be reused in other systems.
- . Systems developed with the OO approach are more flexible. These systems can be modified and enhanced easily by changing some types of objects or by adding new types.
- . The OO approach allows the systems analyst to think at the level of the real-world systems (as users do), rather than at the level of the programming language. The basic operations of an enterprise change much more slowly than the information needs of specific groups or individuals. Therefore, software based on generic models (which the OO approach is) will have a longer life span than programs written to solve specific, immediate problems.
- . The OO approach is also ideal for developing Web applications.
- . The OO approach depicts the various elements of an information system in user terms (i.e., business or real-world terms), and therefore, the users have a better understanding of what the new system does and how it meets its objectives. The OO approach does have some disadvantages: OO systems, especially those written in Java, generally run more slowly than those developed in other programming languages. Also, many programmers have little skill and experience with OO languages, necessitating retraining.

An object-oriented development environment provides a framework that encourages designers to think in object-oriented terms, to design systems with conceptual integrity and clear separation of function from internal implementation. It also provides substantial assistance to the developer in automating the production of executable software from the object-oriented model. Interface logic, and the underlying middleware, are generated by the component-based development environment.

It is hard to "mine" design patterns from earlier work, and once such patterns have been mined, it is hard to catalog and reuse them. Reuse is hard because the pattern-reuse technique is an emerging and little-known discipline with precious few tools to support it. Although some developers are successfully using the pattern-reuse technique (Best, 1995, and Schmidt 1999), there is much untapped potential here that could be realized with greater awareness, better tools, and available repositories of reusable patterns.

Information Systems Development Methodologies, Techniques, and Tools

OBJECT-ORIENTED ANALYSIS AND DESIGN. The development process for an object-oriented system begins with a feasibility study and analysis of the existing system. Systems developers identify the objects in the new system—the fundamental elements in OO analysis and design. Each object represents a tangible real-world entity, such as a customer, bank account, student, or course. Objects have properties. For example, a customer has an identification number, name, address, account number(s), and so on. Objects also contain the operations that can be performed on their properties. For example, customer objects' operations may include obtain-account-balance, open-account, withdraw-funds, and so on.

Therefore, object-oriented analysts define all the relevant objects needed for the new system, including their properties (called data values) and their operations (called behaviors). They then model how the objects interact to meet the objectives of the new system. In some cases, analysts can reuse existing objects from other applications (or from a library of objects) in the new system, saving time spent coding. In most cases, however, even with object reuse, some coding will be necessary to customize the objects and their interactions for the new system.

An information systems development methodology (ISDM) can be defined as a collection of procedures, techniques, tools, and documentation aids that help systems developers in their efforts to implement a new information system. The methodology consists of phases, themselves consisting of sub-phases, which guide the systems developers in their choice of the techniques that might be appropriate at each stage of the project, and also help them plan, manage, control, and evaluate information systems projects.

A methodology is a set of practices and procedures, with supporting templates and knowledge bases, that systematically organizes the development process. (A methodology is different from method.) A methodology should specify the training needs of the users and specifically address the critical issue of development philosophy. The objectives of using a methodology are: (1) a better end product, (2) a better development process, and (3) a standardized process.

Different methodologies make different assumptions about the business and work environments of the project, and knowing each of their pros and cons allows a team to pick the most efficient methodology for its particular project. Some methodologies emphasize testing, some documentation; others stress code reusability. Certain methodologies are better suited for projects with tight deadlines or unclear and changing requirements.

Executing against a methodology reduces the knowledge and experience required by a development team. However, the team needs to learn the rules and practices of a specific methodology. Methodologies can be classified into process-oriented, blended, object-oriented, rapid development, people-oriented, organizational-oriented, and frameworks. Examples of each are shown in Table T6.2.

TECHNIQUES AND TOOLS FEATURES IN EACH METHODOLOGY. A technique is a way of doing a particular activity in the information systems development process, and any particular methodology may recommend techniques to carry out many of these activities. Techniques include holistic, data, process, object-oriented, project management, organizational, and people.

TABLE T6.1 Advantages and Disadvantages of Systems Acquisition Methodologies
Traditional Systems Development (SDLC)

- . Forces staff to be systematic by going through every step in a structured process.
- . Enforces quality by maintaining standards.
- . Has lower probability of missing important issues in collecting user requirements.

End-User Development

- . Bypasses the information systems department and avoids delays.
- . User controls the application and can change it as needed.
- . Directly meets user requirements.
- . Increased user acceptance of new system.
- . Frees up IT resources and may reduce application development backlog.

External Acquisition (Buy or Lease)

- . Software exists and can be tried out.
- . Software has been used for similar problems in other organizations.
- . Reduces time spent for analysis, design, and programming.
- . Has good documentation that will be maintained.

Advantages Disadvantages

Joint Application Development (JAD)

- . Easy for senior management to understand.
- . Provides needed structure to the user requirements collection process.

Prototyping

- . Helps clarify user requirements.
- . Helps verify the feasibility of the design.
- . Promotes genuine user participation in the development process.
- . Promotes close working relationship between systems developers and users.
- . Works well for ill-defined problems.
- . May produce part of the final system.

Rapid Application Development (RAD)

- . Active user involvement in analysis and design stages.
- . Easier implementation due to user involvement.

Object-Oriented Development (OO)

- . Integration of data and processing during analysis and design should lead to higher-quality systems. . Reuse of common objects and classes makes development and maintenance easier.
- . May produce excessive documentation.
- . Users are often unwilling or unable to study the specifications they approve.
- . Takes too long to go from the original ideas to a working system.
- . Users have trouble describing requirements for a proposed system.
- . May encourage inadequate problem analysis.
- . Not practical with large number of users.
- . User may not give up the prototype when the system is completed.
- . May generate confusion about whether or not the information system is complete and maintainable.
- . System may be built quickly, which may result in lower quality.
- . Difficult and expensive to get all people to the same place at the same time.
- . Potential to have dysfunctional groups.
- . System often narrowly focused, which limits future evolution, flexibility, and adaptability to changing business conditions.
- . System may be built quickly, which may result in lower quality.
- . Very difficult to train analysts and programmers on the OO approach.
- . Limited use of common objects and classes.
- . Creates lower-quality systems because an amateur does the programming.
- . May eventually require consulting and maintenance assistance from the IT department.
- . System may not have adequate documentation.
- . Poor quality control.
- . System may not have adequate interfaces to existing systems.
- . Controlled by another company that has its own priorities and business considerations.
- . Package's limitations may prevent desired business processes.
- . May be difficult to get needed enhancements if other companies using the package do not need those enhancements.
- . Lack of intimate knowledge about how the software works and why it works that way.

Examples of Development Methodologies

Process-oriented Structured Analysis, Design, and Implementation of Information Systems (STRADIS), Yourdon Systems Method (YSM), and Jackson Systems Development (JSD) Blended Structured Systems Analysis and Design Method (SSADM), Merise, Information Engineering IE, and Welti ERP Development Object-oriented Object-Oriented Analysis (OOA), and Rational Unified Process (RUP) Rapid development James Martin's RAD, Dynamic Systems Development Method (DSDM), Extreme Programming (XP), and Web IS Development Methodology (WISDM) People-oriented Effective Technical and Human Implementation of Computer-Based Systems (ETHICS), KADS, and Common KADS Organizational-oriented Soft Systems Methodology (SSM), Information Systems Work and Analysis of Changes (ISAC), Process Innovation (PI), Projects In Controlled Environments (PRINCE), and Renaissance Frameworks Multiview, Strategic Options Development and Analysis (SODA), Capability Maturity Model (CMM), and EuroMethod

Classification Examples

Each technique may involve the use of one or more tools that represent some of the artifacts used in information systems development. Tools include groupware (e.g., GroupSystems), Website development (e.g., DreamWeaver), drawing (e.g., Microsoft Visio 2003), project management (e.g., Microsoft Project 2003), and database management (e.g., Microsoft Access). Tools used in development can be ranged from simple automation (e.g., a drawing program like Visio) to fully featured modeling tools like Rational Rose, which is capable of interfacing to a repository through XML to share data with other tools in a cooperative total development environment.

Several other system development methods exist, especially for e-business and Web-based applications. Most notable are component-based development and Web Service, the topics of our next section. Component-Based Object-oriented development, discussed in Section T6.3, has its downside: Business objects, though they represent things in the real world, can become unwieldy when they are combined and recombined in large-scale commercial applications.

What is needed, instead, are suites of business objects that provide major chunks of application functionality (e.g., preprogrammed workflow, order placing) that can be "snapped together" to create complete business applications.

This approach is embodied in component-based development (CBD), the upcoming evolutionary step beyond object-oriented development. CBD uses preprogrammed components to develop applications. According to Szyperski (1998), a component is a unit of composition with contractually specified interfaces and explicit context dependencies. Context dependencies are specified by starting the required interfaces and the acceptable platforms. For the purposes of independent deployment, a component needs to be a binary unit.

A component's functionality can be accessed only through its interfaces. Components must have software "plug points" that fit into sockets provided by a component execution environment. The component execution environment is required to provide run-time technical infrastructure services and to hide low-level technology issues from the business solution developer.

Rather than synchronous interactions between components, a component invokes an operation in another component by sending a message. Where integration is needed across architectural domains, loosely coupled integration is more appropriate than a tightly

coupled arrangement. In a tightly coupled integration, a component needs to know the name of the service it wants to call. In a loosely coupled integration with a message broker, an application makes its request by sending a message, in proper standard format, to the message broker. Based on the message content, the message broker forwards the message to the application that accepts the message and acts upon it.

KEY CHARACTERISTICS OF COMPONENTS IN COMPONENT-BASED DEVELOPMENT. Components used in distributed computing need to possess several key characteristics to work correctly, and they can be viewed as an extension of the object-oriented paradigm. The two main traits borrowed from the world of object-oriented technology are encapsulation and data hiding.

Components encapsulate the routines or programs that perform discrete functions. In a component-based program, one can define components with various published interfaces. One of these interfaces might be, for example, a data-comparison function. If this function is passed to two data objects to compare, it returns the results. All manipulations of data are required to use the interfaces defined by the data object, so the complete function is encapsulated in this object, which has a distinct interface to other systems. Now, if the function has to be changed, only the program code that defines the object must be changed, and the behavior of the data comparison routine is updated immediately, a feature known as encapsulation.

Data hiding addresses a different problem. It places data needed by a component object's functions within the component, where it can be accessed only by specially designated functions in the component itself. Data hiding is a critical trait of distributed components. The fact that only designated functions can access certain data items, and outside "requestors" have to query the component, simplifies maintenance of component-oriented programs.

Examples of components include user interface icons (small), word processing (a complete software product), a GUI, online ordering (a business component), and inventory reordering (a business component). Search engines, E-Commerce Applications Vendor Management Extended Value/ Supply Chain i-Market Customer Care

Logical architecture for component-based development of e-commerce.

Web Services in
System
Development

Application-
Specific
Components
Cross-
Application
Components
Common Business Objects
Distributed Object Infrastructure
Legacy Applications & Assets
Industry-
Specific
Components

firewalls, Web servers, browsers, page displays, and telecommunication protocols are examples of intranet-based components.

Code reusability, which makes programming faster and more accurate, is the first of several reasons for using component-based development. Others include: support for heterogeneous computing infrastructure and platforms; rapid assembly of new business applications for quick time-to-market; and the ability of an application to scale. And because major software vendors are committed to component architecture, application builders can mix and match best-of-breed solutions. For a methodology of evaluating component-based systems see Dahanayake et al. (2003).

COMPONENT-BASED DEVELOPMENT OF E-COMMERCE APPLICATIONS. Plug-and-play business application components can be “glued together” rapidly to develop complex distributed applications, such as those needed for e-commerce. Component-based EC development is gaining momentum. It is supported by Microsoft and the Object Management Group (OMG), which have put in place many of the standards needed to make component-based development a reality. There are several methods that developers can use for integrating components (e.g., see Linthicum, 2001). A logical architecture for component-based development of e-commerce applications can be described in layers,

THE ROLE OF COMPONENT-BASED APPROACH IN SOFTWARE REUSE. The efficient development of software reuse has become a critical aspect in the overall IS strategies of many organizations. An increasing number of companies have reported reuse successes. The traditional reuse paradigm allows changes to the code that is to be reused (“white-box reuse”). Component-based software development advocates that components are reused as is (“black-box reuse”). Taking the black-box reuse concept one step further is the idea of leveraging existing software using Web Services (our next topic). Both component-based development and Web Services are receiving growing interest among members of the IS community.

The major application of Web Services is systems integration. Applications need to be integrated with databases and with other applications. Users need to interface with the data warehouse to conduct analysis, and almost any new system needs to be integrated with older ones. Finally, the increase of B2B and e-business activities requires the integration of application and databases of business partners (external integration). Because Web Services can contribute so much to systems integration, their use is growing rapidly.

The original term for Web Services was “application services.” They are services that are made available from a business’s server for Web users. Because of their great interoperability and extensibility (due to the use of XML), Web Services can be combined in a loosely coupled way in order to achieve complex operations.

Web Services simplify enterprise application integration and create new revenue opportunities by enabling organizations to offer data and services to both customers and partners. Web Services information inquiry has taken a great stride forward because many companies are looking to automate business processes and get products to market faster. The future of Web Services depends on cross-platform interoperability and the creation of a security standard. The Web Services Interoperability Organization will solve these problems.

Service-oriented architecture (SOA) is a good companion to Web Services. It has the benefit of its capacity for rapid modification. It will become an IT architecture mainstream in the future.

BASIC CONCEPTS. There are several definitions of Web Services. Here is a typical one: Web Services are self-contained, self-describing business and consumer modular applications, delivered over the Internet, that users can select and combine through almost any device (from personal computers to mobile phones). By using a set of shared protocols and standards, these applications permit different systems to “talk” with one another—that is, to share data and services—without requiring human beings to translate the conversations.

Specifically, a Web Service fits the following three criteria: (1) It is able to expose and describe itself to other applications, allowing those applications to understand what the service does. (2) It can be located by other applications via an online directory, if the service has been registered in a proper directory. (3) It can be invoked by the originating application by using standard protocols.

Web Services have great potential because they can be used in a variety of environments (over the Internet, on an intranet inside a corporate firewall; on an extranet set up by business partners) and can be written using a wide variety of development tools. They can be made to perform a wide variety of tasks, from automating business processes, to integrating components of an enterprisewide system, to streamlining online buying and selling. Key to the promise of Web Services is that, in theory, they can be used by anyone, anywhere, any time, using any hardware and any software, as long as the modular software components of the services are built using a set of key protocols.

The Key Protocols. Web Services are based on a family of key protocols (standards). These protocols are the building blocks of the Web Services platforms. The major protocols are:

- . XML. Extensible Markup Language makes it easier to exchange data among a variety of applications and to validate and interpret such data. An XML document describes a Web Service and includes information detailing exactly how the Web Service can be run.

- . SGML. Standard Generalized Markup Language (SGML) is a general standard for the Internet programming languages. It is known informally as “the mother of all Web programming languages.” It sets standards that are independent of any type of computer or of any operating system that sends or retrieves documents. It was developed and standardized by ISO in 1986. It does not specify any formats but rather sets the rules. HTML, XML, and WML are its products.

- . XML. XML is a WWW Consortium (W3C) standard that translates a company’s business documents into a format understandable by another company. It is the universal format for structured documents and data on the Web. It is intended for open computer-to-computer communications, as it permits the efficient integration of e-commerce solutions across both the Internet and private B2B networks. XML lets developers define the tags used in terms of the information that tagged elements contain, rather than their appearance. XML code alone will not display anything on the computer screen: Only the combination of the HTML code and XML code will serve to display lists and tell the browser what the information is. According to Microsoft, XML Web Services are the fundamental building blocks in the move to distributed computing on the Internet. Open standards and the focus on

communication and collaboration among people and applications have created an environment in which XML Web Services are becoming the platform for application integration. Applications are constructed using multiple XML Web Services from various sources that work together, regardless of where they reside or how they were implemented. One of the primary advantages of the XML Web Services architecture is that it allows programs written in different languages on different platforms to communicate with each other in a standards-based way.

Industry leaders in accounting, financial reporting, and accounting software are working with firms such as Microsoft and IBM to develop a common XML standard for financial reporting. This major initiative, called Extensible Business Reporting Language (XBRL), is an XML-based financial reporting language that supports the transmission of financial reports in a format that can be processed automatically by computers.

. SOAP. Simple Object Access Protocol is a set of rules that facilitate XML exchange between network applications. SOAP defines a common standard that allows different Web Services to interoperate (i.e., it enables communications, such as allowing Visual Basic clients to access Java server). It is a platform-independent specification that defines how messages can be sent between two software systems through the use of XML. These messages typically follow a Request/Response pattern (computer-to-computer).

. WSDL. The Web Services Description Language is used to create the XML document that describes tasks performed by Web Services. It actually defines the programmatic interface of the Web Services. Tools such as VisualStudio.Net automate the process of accessing the WSDL, read it, and code the application to reference the specific Web Service.

. UDDI. Universal Description, Discovery, and Integration allows for the creation of public or private searchable directories of Web Services. It is the registry of Web Services descriptions. UDDI was developed by the Organization for the Advancement of Structured Information Systems (OASIS), which was formed by IBM, Microsoft, Sun, and others.

. Security protocols. Several security standards are in development such as Security Assertion Markup Language (SAML), which is a standard for authentication and authorization. Other security standards are XML signature, XML encryption, XKMS, and XACML. See Cerami (2002) for a list of other protocols that are under development.

Other Web Services standards include XML Schema Definition Language, Extensible Stylesheet Language (XSL), and Xlink. Major Web Services development platforms include Microsoft.NET, Sun's Java Enterprise systems, BEA WebLogic server, and IBM WebSphere.

W3C has worked on the infrastructure of Web Services to define the architecture and the core technologies for Web Services. It started XML Protocol Activity in September 2000 to address the need of an XML-based protocol for application-to-application messaging. In January 2002, Web Services Activity was launched for designing a set of technologies fitting in the Web architecture in order to lead Web Services to their full potential. It consists of three working groups (XML Protocol Working Group, Web Services Description Working Group, and Web Services Choreography Working Group), one interest group (Semantic Web Services Interest Group), and one coordination group (Web Services Coordination Group).

Web Services Resource Guide can be found at eweek.com/slideshow_viewer/0,2393,1=&s=1590&a=31201&po=1,00.asp and at gotdotnet.com.

THE NOTION OF WEB SERVICES AS COMPONENTS. Traditionally, people view information systems, including the Web, as relating to information (data) processing. Web Services enable the Web to become a platform for applying business services as components in IT applications. For example, user authentication, currency conversion, and shipping arrangement are components of broad business processes or applications, such as e-commerce ordering or e-procurement systems. (For further discussion, see Stal, 2002.)

The idea of taking elementary services and gluing them together to create new applications is not new. As we saw earlier, this is the approach of component-based development. The problem is that earlier approaches were cumbersome and expensive. According to Tabor (2002) existing component-integration technologies exhibit problems with data format, data transmission, interoperability, inflexibility (they are platform specific), and security. Web Services offer a fresh approach to integration. Furthermore, business processes that are comprised of Web Services are much easier to adapt to changing customer needs and business climates than are “homegrown” or purchased applications (Seybold, 2002).

A WEB SERVICES EXAMPLE. As a simple example of how Web Services operate, consider an airline Web site that provides consumers with the opportunity to purchase tickets online. The airline recognizes that customers also might want to rent a car and reserve a hotel as part of their travel plans. The consumer would like the convenience of logging onto only one system rather than three, saving time and effort. Also, the same consumer would like to input personal information only once.

The airline does not have car rental or hotel reservation systems in place. Instead, the airline relies on car rental and hotel partners to provide Web Services. The specific services the partners provide are defined by a series of WSDL documents. When a customer makes a reservation for a car or hotel on the airline’s Web site, SOAP messages are sent back and forth in the background between the airline’s and the partners’ servers. In setting up their systems, there is no need for the partners to worry about the hardware or operating systems each is running. Web Services overcome the barriers imposed by these differences. An additional advantage for the hotel and car reservation systems is that their Web Services can be published in a UDDI so that other businesses can take advantage of their services.

Web Services Advantages and Limitations

. Greater interoperability and lower costs, due to universal, open, text-based standards.

Advantages

- . Promote modular programming and reuse of existing software.
- . Enable software running on different platforms to communicate with each other.
- . Operate on existing Internet infrastructure, so are easy and inexpensive to implement.
- . Can be implemented incrementally.
- . Standards still being defined.
- . Require programming skill to implement.
- . Security: Applications may be able to bypass security barriers.

Sources: Compiled from E. M. Dietel et al., *Web Services Technical Introduction* (Upper Saddle River, NJ: Prentice-Hall, 2003) and from C. Shirky, *Planning for Web Services* (Cambridge, MA: O'Reilly and Associates, 2002). access to their systems.

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